

# HERIZON: A Phase 2 study of HER-Vaxx (IMU-131), a HER2 targeting peptide vaccine, plus standard of care chemotherapy in patients with HER2 overexpressing metastatic or advanced gastric/GEJ adenocarcinoma. Final Overall Survival Analysis

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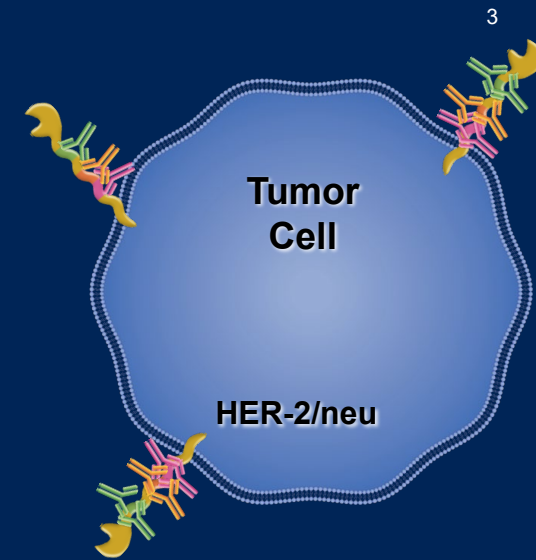
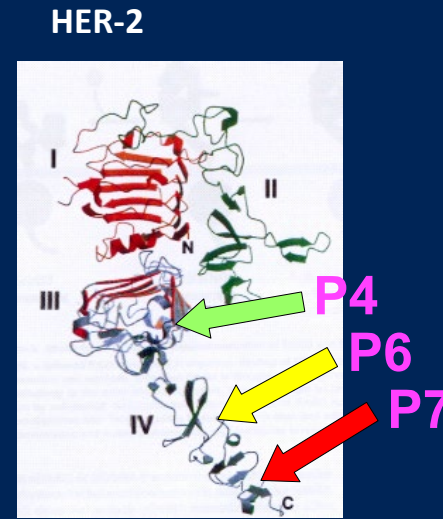
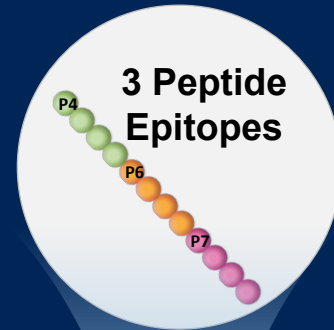
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# DECLARATION OF INTERESTS

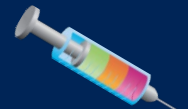
- Study related funds provided to my institution by the study sponsor, Imugene Limited.
- Travel grant to attend ASCO GI 2023, provided by Imugene Limited.

# B Cell Immunotherapy: HER-Vaxx

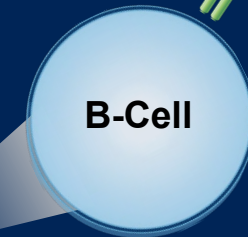
- HER-Vaxx is a B cell Immunotherapy designed to treat tumours that over-express the HER-2/neu receptor, including gastric
- HER-Vaxx is constructed from three B-cell epitopes (P4, P6, P7) derived from the extracellular domain of HER-2/neu
- The 3 peptide epitopes are conjugated to carrier protein CRM197 in a Montanide Th1 (adjuvant) emulsion
- HER-Vaxx has been shown in pre-clinical studies and in the Phase Ib study to stimulate a potent polyclonal antibody response to HER2/neu
- HERIZON study was designed and conducted in countries where trastuzumab was not available to replicate the pivotal trastuzumab/ chemotherapy study (TOGA) in gastric cancer.



HER-Vaxx Immunotherapy



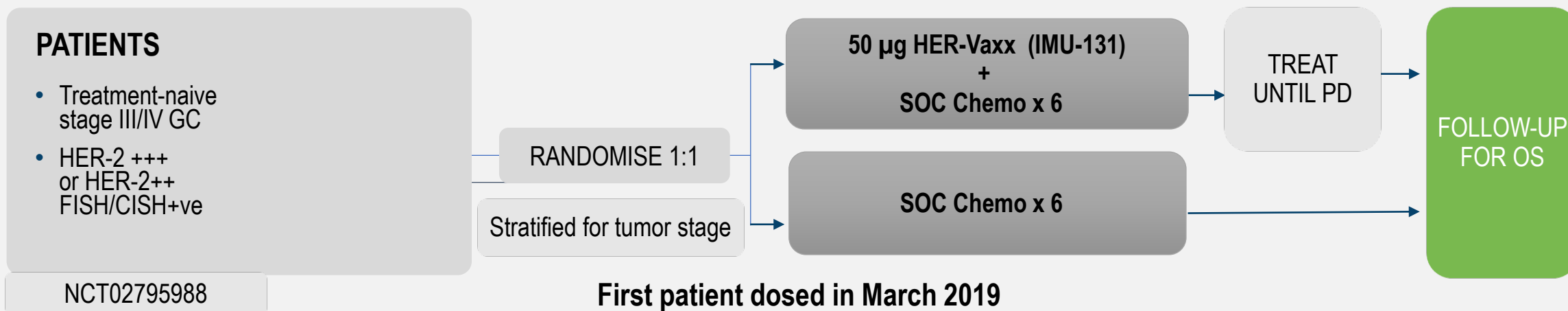
HER-Vaxx Antibody Secretion



B-cell Activation



# HERIZON Phase 2 Open Label, Multicenter Study



HER-Vaxx I.M.	C1D1, C1D15, C2D15 and C4D15 then Q9 weeks till PD
Chemotherapy	6 cycles Q3 weeks (Cisplatin + 5FU or Capecitabine; Oxaliplatin + Capecitabine )

<b>PRIMARY ENDPOINT</b>	<b>OS</b> (pre-spec 1-sided alpha 0.10, power 90% with critical HR 0.6 and 24 events)
<b>SECONDARY ENDPOINTS</b>	PFS, Safety, Immune Response

<b>NO. OF PATIENTS</b>	36
<b>SITE LOCATION</b>	Eastern Europe, India

# Baseline Demographics and Characteristics

	HER-Vaxx + Chemotherapy N=19 (%)	Chemotherapy N=17 (%)
Median Age [years] (range)	65 (48, 84)	68 (44, 79)
M/F	10 (53)/9 (47)	13 (77)/4 (23)
ECOG performance grade, n (%)		
Grade 0	8 (42)	8 (47)
Grade 1/2	11 (58)	9 (53)
Initial tumor diagnosis type, n (%)		
Adenocarcinoma of gastroesophageal junction	2 (10)	2 (12)
Adenocarcinoma of the stomach	17 (90)	15 (88)
Tumor stage at screening, n (%)		
Stage IIIb	5 (26)	4 (24)
Stage V	14 (74)	13 (77)
Prior treatment n (%)		
Prior gastric cancer surgery	10 (53)	7 (41)
Prior gastric cancer drug therapy	4 (21)	2 (12)
Prior gastric cancer radiotherapy	1 (5)	0

5 patients

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# No Additive Toxicity to Chemotherapy with HER-Vaxx

## Safety Overview

	HER-Vaxx + Chemotherapy N=19 (%)	Chemotherapy N=17 (%)
Any TEAE	18 (95)	16 (94)
Any serious TEAE	2 (11)	5 (29)
≥ Grade 3	8 (42)	7 (42)
Treatment-related TEAE	16 (84)	13 (77)
TEAE leading to treatment discontinuation	2 (11)	4 (24)
TEAE leading to treatment reduction or interruption	8 (42)	6 (35)
Any TEAE leading to death	1 (5)	1 (6)

One patient in each arm experienced a Grade 5 event:

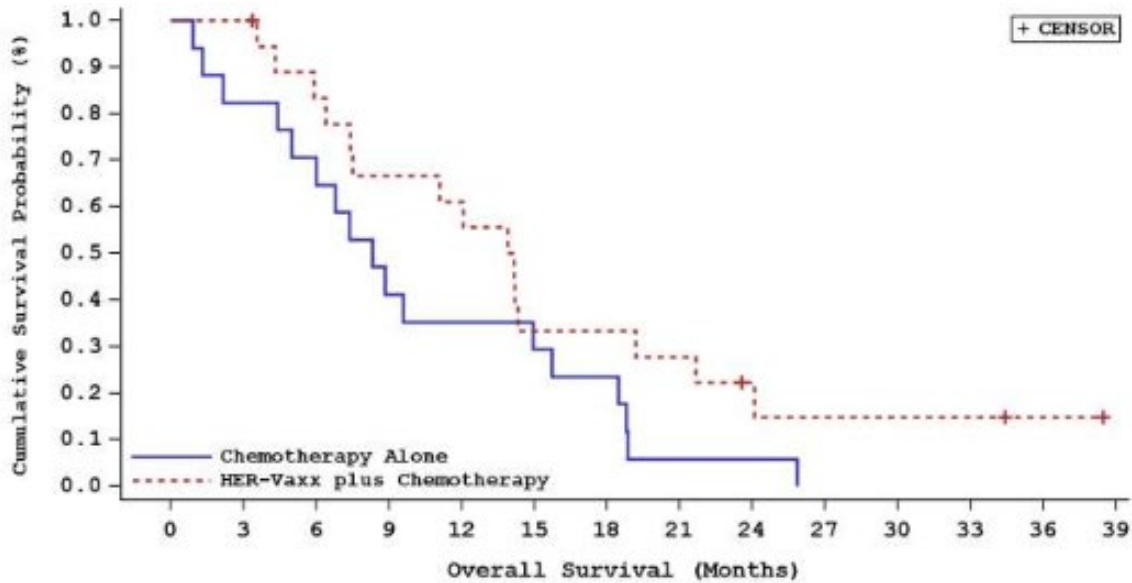
- Grade 5 Covid infection in the HER-Vaxx + chemotherapy arm
- Grade 5 respiratory failure in the chemotherapy alone arm

## Adverse Event in ≥ 10% of Patients

	HER-Vaxx + Chemotherapy N=19 (%)		Chemotherapy N=17 (%)	
	Grade 1/2	Grade ≥ 3	Grade 1/2	Grade ≥ 3
Decreased appetite	5 (26)	0	1 (6)	0
Headache	5 (26)	0	0	0
Diarrhoea	4 (21)	1 (5)	3 (18)	0
Nausea	4 (21)	0	1 (6)	0
Fatigue	3 (16)	2 (11)	2 (12)	0
Vomiting	3 (16)	0	3 (18)	0
Anaemia	2 (11)	1 (5)	1 (6)	4 (24)
Injection site reaction	2 (11)	0	0	0
Pain in extremities	2 (11)	0	0	0
Peripheral swelling	2 (11)	0	0	0
Weight decreased	2 (11)	0	1 (6)	0
Platelet count decreased	0	1 (5)	3 (18)	1 (6)
Hypoalbuminemia	0	0	2 (12)	0
Peripheral neuropathy	0	0	2 (12)	0

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# Overall Survival Benefit when is HER-Vaxx Added to Chemotherapy



Treatment	Number of Patients at Risk	0	3	6	9	12	15	18	21	24	27	30	33	36	39
Chemotherapy Alone	17	14	12	7	6	5	4	1	1	0	0	0	0	0	0
HER-Vaxx plus Chemotherapy	19	19	15	12	11	6	6	5	3	2	2	2	1	0	0

Six patients/arm received post-treatment therapy including 5 patients who received trastuzumab (3 in the HER-Vaxx arm and 2 in chemotherapy arm).

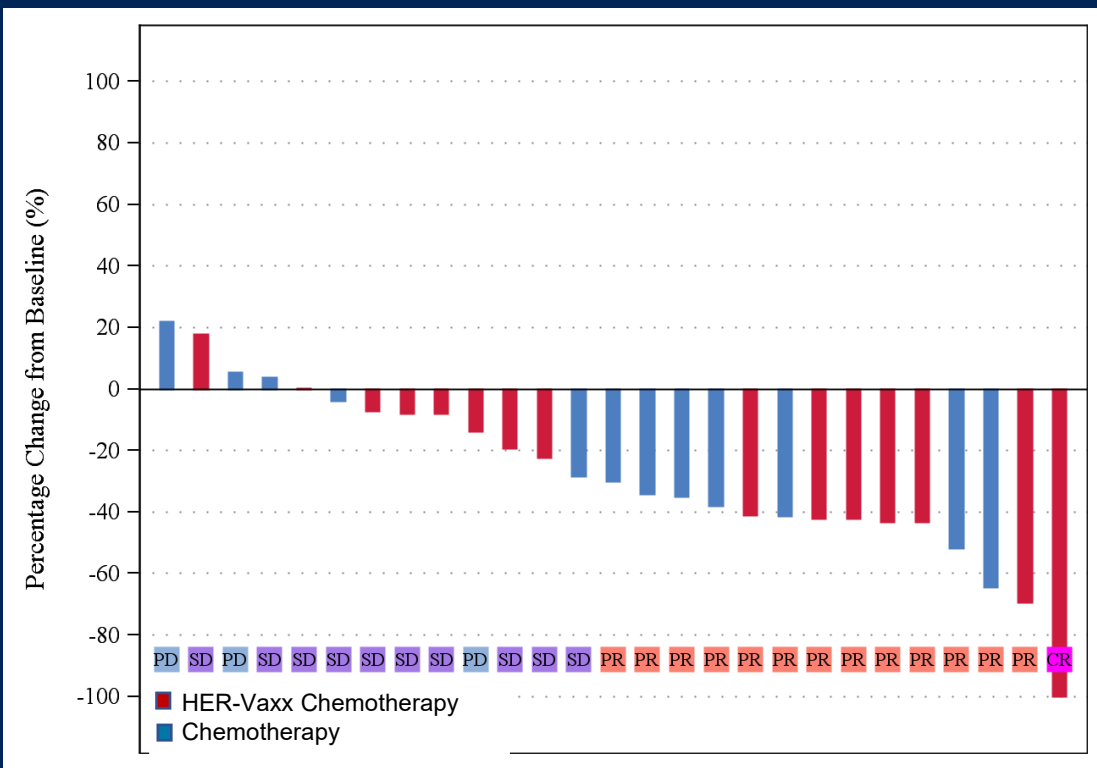
	HER-Vaxx + Chemotherapy	Chemotherapy
Sample Size	19	17
Events	15	17
Median OS (2-sided 80% CI)	<b>14.0 months</b> <b>(11.1, 14.3)</b>	8.3 months (6.0, 9.59)
HR	<b>0.558</b>	
2-sided 80%CI	(0.349, 0.895)	
Log-rank Test (1-sided p-value)	<b>0.054*</b>	
Median PFS (2-sided 80% CI)	6.93 (5.6, 9.9)	6.01 (2.2, 8.3)

\*Significant, 1-sided p < 0.10

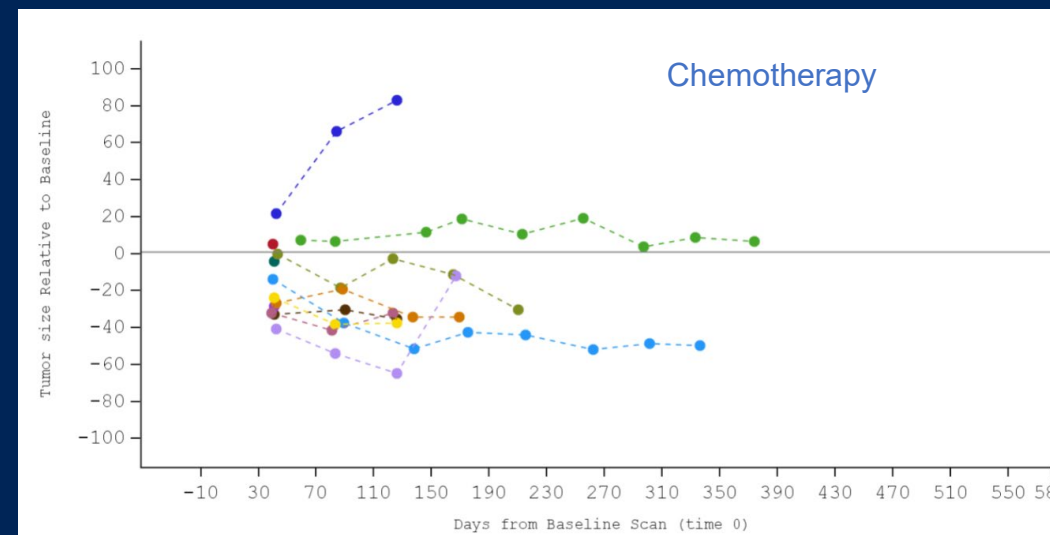
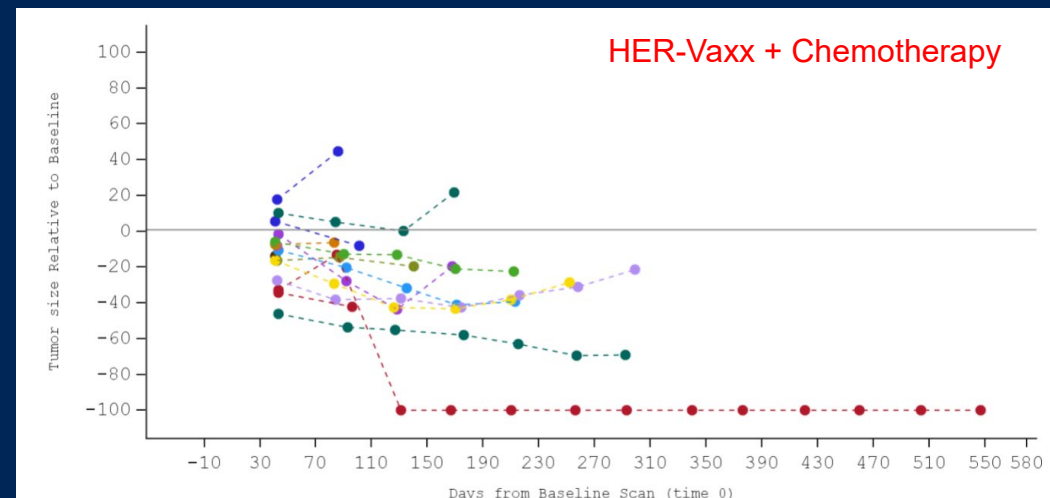
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# HER-Vaxx added to Chemotherapy Demonstrated Deep and Durable Responses

Best overall response



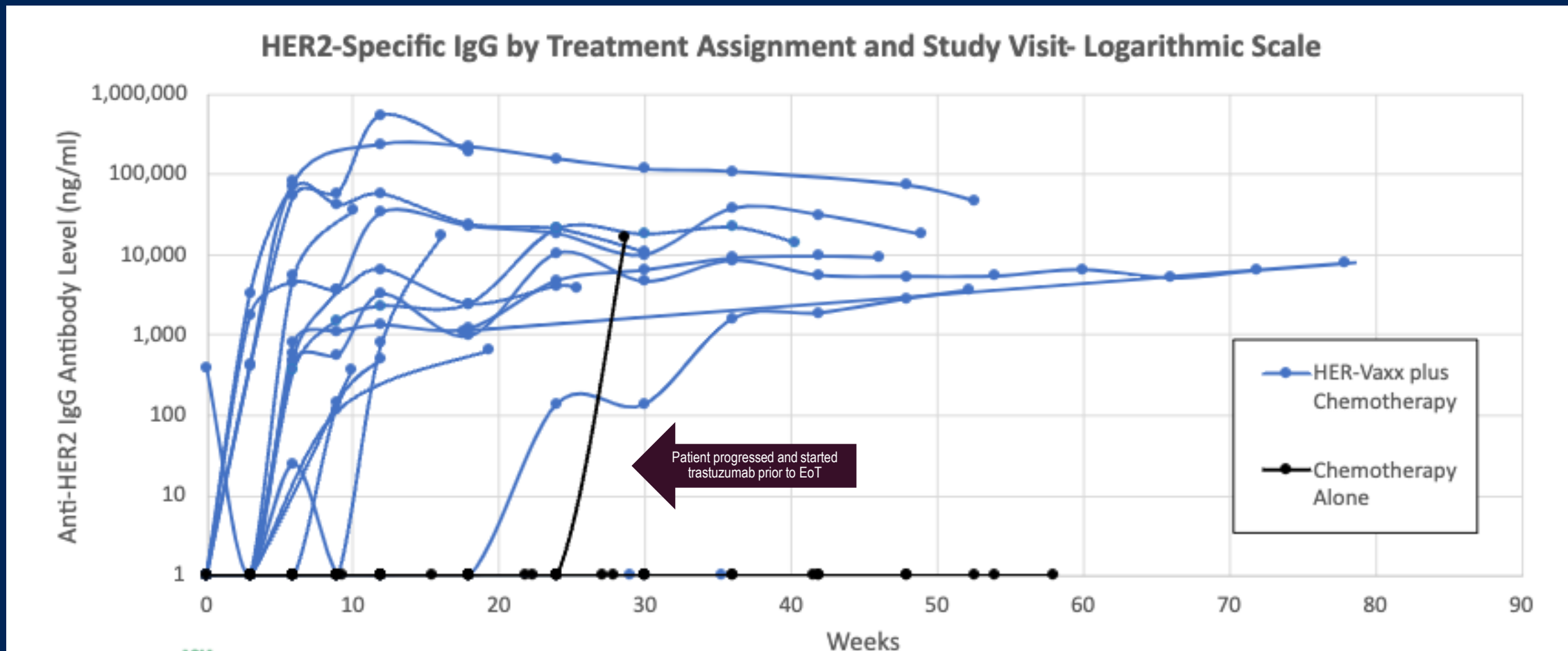
Five patients not included due to: 2 patients with no target lesions; No tumor evaluation by BICR for 1 HER-Vaxx + Chemotherapy (1 PD) and Chemotherapy (1 PR, 2 PD) patients.



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# HER-Vaxx Produced Elevated and Sustained Anti-HER-2 IgG Antibodies



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# Conclusions

- HER-Vaxx (IMU-131) + chemotherapy showed a statistically significant 45% overall survival benefit compared to chemotherapy alone (14.0 vs 8.3 months)
- Duration of response was longer in HER-Vaxx + chemotherapy arm over chemotherapy alone arm (30 vs 19 weeks)
- Vaccination with HER-Vaxx induced persistent HER-2 specific antibodies which correlated with clinical response as proof of concept for a first-in-class B Cell Immunotherapy based on HER-2 peptides
- No additive toxicity was seen when HER-Vaxx was administered in combination with chemotherapy
- Exploring alternative HER-Vaxx doses in single arm phase 2 extension study
- The nextHERIZON study (NCT05311176) is currently enrolling (TIP #16 @ASCO GI): HER-Vaxx plus ramucirumab /paclitaxel OR pembrolizumab following progression with trastuzumab treatment in GC